

GLOBE STOCKS & SECURITIES LTD.

Regd. Office: 8, Ganesh Chandra Avenue, 1st Floor, Kolkata – 700013
Tel (033) 2234-8823, Fax -2236-5700
e-mail : globestocks@gmail.com
(CIN: L67120WB1994PLC065574)

Date: 20/05/2023

The Listing Department
The Calcutta Stock Exchange Ltd.
7, Lyons Range,
Kolkata – 700001.

Sir,

Sub: YEARLY COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS & DISCLOSURE REQUIREMENTS) REGULATION 2015

Pursuant to Regulation 40(9) SEBI (LODR) 2015 please find enclosed herewith the Yearly Compliance Certificate for the half year ended 31.03.2023

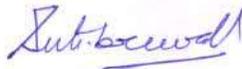
We submit this certificate pursuant to Regulation 40(10) OF SEBI (Listing Obligations & Disclosure Requirements) Regulation 2015.

This is for your information and record.

Thanking you,

Yours faithfully,

For: **GLOBE STOCKS & SECURITIES LIMITED**



DIRECTOR

SUDIPTO ROY CHOWDHURY
Company Secretary in Practice

21/A, Narayan Roy Road,
Barisha, Kolkata – 700008.

On the basis of books, registers, and documents made available to and examined by me and as per information and explanations provided to me by M/s. Maheshwari Datamatics Pvt. Ltd. of 23, R. N. Mukherjee Road, 5th Floor, Kolkata - 700001, the Registrar and Transfer Agent of M/s. Globe Stocks & Securities Ltd. of 8, Ganesh Chandra Avenue, 1st floor, Kolkata – 700 013 for the purpose of issuing certificate under Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulation 2015 to the aforesaid company i. e. M/s. Globe Stocks & Securities Ltd., I hereby certify that during the year ended 31.03.2023, there was no lodgement of share certificate for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies. The aforesaid clause refers to physical transfer of shares.



Place : Kolkata

(SUDIPTO ROYCHOWDHURY)

Date : 20-05-2023



Company Secretary
C. P. No. 7420
UDIN: A017130E000342543